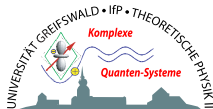


# Sparse Polynomial Space Approach to dissipative quantum systems



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# Motivation

Open quantum systems: coupling to (dissipative) baths or (particle) reservoirs

- spin-boson model: two-level system coupled to bath of harmonic oscillators

Leggett et al., Rev. Mod. Phys. 59, 1 (1987)

$$H = \frac{\Delta}{2}\sigma_x + \sum_i \lambda_i (b_i^\dagger + b_i)\sigma_z + \sum_i \omega_i b_i^\dagger b_i$$

continuous bath:  $J(\omega) = \sum_i \lambda_i^2 \delta(\omega - \omega_i) \propto \omega^s$  for  $(0 \leq \omega \leq \omega_c)$

- physics: dissipative spin dynamics, (sub)-ohmic ( $s \leq 1$ ) quantum phase transition

possible methods: NRG, TD-NRG, QMC, DMRG, perturbation theory

issues: long-time stability, nature of QPT (discrepancy NRG vs. QMC)

- ▶ What about exact diagonalization? (Lanczos, Jacobi-Davidson, Chebyshev, ...)

The problem: **How to represent continuous bath degrees of freedom with a finite-dimensional Hamiltonian matrix?**

- ▶ New suggestion: Sparse Polynomial Space Representation (SPSR)

## Calculation of, e.g., spectral functions using polynomial expansions

- orthogonal polynomials  $P_m$  to weight  $w(\omega)$ :  $\int d\omega w(\omega) P_l(\omega) P_m(\omega) = \delta_{lm}$
- expansion of spectral function  $A(\omega) = \langle \psi | \delta[\omega - H] | \psi \rangle = w(\omega) \sum_m \mu_m P_m(\omega)$ 
  - ▶ function  $A(\omega) \leftrightarrow$  moments  $\mu_m = \int d\omega A(\omega) P_m(\omega) = \langle \psi | P_m[H] | \psi \rangle$
- two-term recurrence  $P_{m+1}(\omega) = (a_m \omega - b_m) P_m(\omega) - c_m P_{m-1}(\omega)$   
 $\leadsto$  efficient recursive calculation of  $\mu_m$  to given  $H$

Hamiltonian  $\rightarrow$  finite matrix  $\rightarrow$  moments  $\mu_m \rightarrow$  spectral function  $A(\omega)$

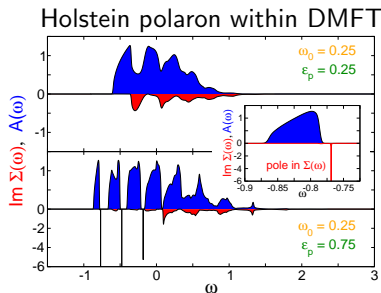
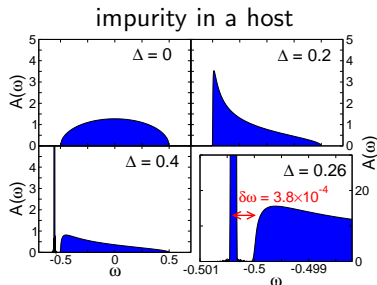
- ▶ 'best choice': Chebyshev polynomials with  $w(\omega) \propto (1 - \omega^2)^{-1/2}$

# Polynomial expansions

## With Chebyshev polynomials: Kernel Polynomial Method (KPM)

[review: Weiße, Wellein, Alvermann, Fehske, RMP 78, 275 (2006)]

- ▶ high resolution, fast convergence, absolute numerical stability even for discontinuous functions [no Gibbs phenomenon]



- ▶ efficient & general techniques for:  
static & dynamic correlations, zero & finite temperature, time-propagation

Prerequisite: represent quantum system by finite Hamiltonian matrix

# Continuous bath degrees of freedom

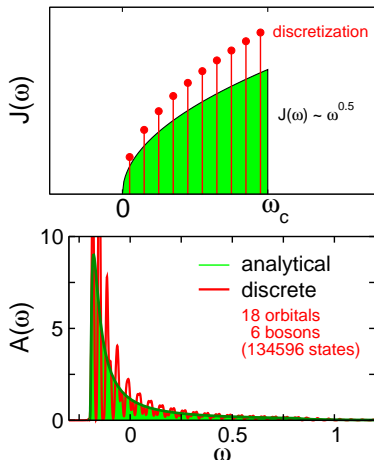
## Representation of continuous bath degrees of freedom

- traditional: discretization
  - (i) small number  $M$  of discrete energies replace continuous  $J(\omega)$
  - (ii)  $n$  bosons:  $\binom{n+M}{M} \simeq M^n$  states
    - ▶ 'curse of dimension'
  - (iii) small  $M$  results in
    - ▶ discretization artefacts

example:

$$A(\omega) = \langle \uparrow; \text{vac} | \delta[\omega - H] | \uparrow; \text{vac} \rangle$$

for spin-boson model with  $\Delta = 0$   
[parameters:  $s = 0.5, \alpha = 0.2$ ]



Instead of discretization: Construct polynomial function space

# Sparse Polynomial Space Representation

## Polynomial function space for multiple bosonic excitations

- (i)  $n$ -boson state in first quantization:  $\psi_n : [0, \omega_c]^n \rightarrow \mathbb{C}$   
totally symmetric wavefunction  $\vec{\omega} \mapsto \psi_n(\vec{\omega})$

►  $\psi_n(\vec{\omega})$ : amplitude of bosons at energies  $\vec{\omega} = (\omega_1, \dots, \omega_n)$

- (ii) expansion in products of orthogonal polynomials

$$\psi_n(\vec{\omega}) = \sum_{\vec{m}} \psi_{\vec{m}} \prod_{i=1}^n P_{m_i}(\omega_i),$$

►  $n$ -dimensional function  $\leftrightarrow$   $n$ -dimensional moments  $\psi_{\vec{m}} \in \mathbb{C}^n$

- (iii) operators  $b^{(\dagger)}$ : simple algebraic operations

► e.g.  $H_B = \sum_i \omega_i b_i^\dagger b_i$  corresponds to multiplication  $\psi_n(\vec{\omega}) \mapsto (\sum_i \omega_i) \psi_n(\vec{\omega})$   
i.e., using two-term recurrence for  $P_m$ , shifting indices of  $\psi_{\vec{m}}$  by  $\pm 1$

## How to select finite subspace?

'naive': restrict degree of each  $P_{m_i}$ :  $m_i < M$

but: effort for  $M^n$  polynomials = effort for  $M^n$  discrete points ⚡

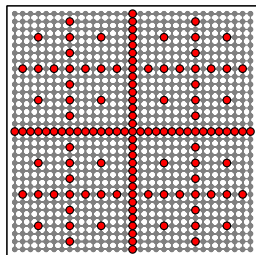
Instead: Use concepts from approximation theory  $\leadsto$  sparse grid

# Sparse Polynomial Space Representation

## Sparse grids: Interpolation of multivariate functions

(i) Cartesian grid:  $M$  points along each axis  
 $n$ -dim. function  $\leftrightarrow$  values at  $M^n$  points

(ii) Sparse grid: much less points  
for interpolation with comparable accuracy  
▶  $n$ -dim. function  $\leftrightarrow$  values at *few* points



(iii) relevant for our purpose: sparse grid interpolation exact

for polynomials  $P_{m_1} \cdots P_{m_n}$  with  $\sum_{i=1}^n \lfloor \log_2(m_i + 1) \rfloor \leq N_g$

▶ this condition defines Sparse Polynomial Space to level  $N_g$

▶ contains polynomials of high degree (up to  $2^{N_g} - 1$ ) *and* only few in total

## Sparse Polynomial Space Representation:

$n$ -boson wavefunction  $\psi_n(\vec{\omega}) \leftrightarrow$  few parameters  $\psi_{\vec{m}}$

# Sparse Polynomial Space Representation

## Sparse Polynomial Space Representation

continuous bath degrees of freedom  
infinite bosonic Fock space



$n$ -dimensional complex functions



polynomial expansions



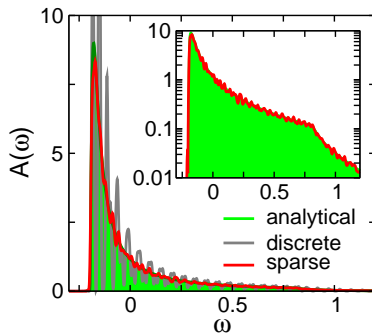
sparse grid: sub-space selection



sparse polynomial space

- ▶ intrinsic interpolation of sparse grid overcomes problems of discretization
- ▶ no discretization artefacts
- ▶ exact diagonalization techniques become applicable to open quantum systems

Results with excellent accuracy for moderate effort



sparse grid with 129284 states  
(less than discrete grid)



# Results for the spin-boson model

$$H = \frac{\Delta}{2}\sigma_x + \sum_i \lambda_i (b_i^\dagger + b_i)\sigma_z + \sum_i \omega_i b_i^\dagger b_i$$

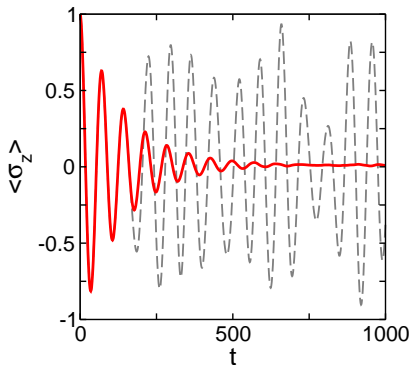
continuous bath:  $J(\omega) = \sum_i \lambda_i^2 \delta(\omega - \omega_i) \propto \alpha \omega^s$  (for  $0 \leq \omega \leq \omega_c = 1$ )

## Spin dynamics

Sparse Polynomial Space +  
Chebyshev time propagation

initial state:  
spin  $|\uparrow\rangle$  + relaxed oscillator bath

- ▶ time evolution of a dissipative system with a finite hermitian matrix
- ▶ no (discretization) error
- ▶ dynamics on long time scales: transients & steady state
- ▶ no additional averaging or damping



for comparison:  
discrete grid of comparable size

# Results for spin-boson model

## Sub-Ohmic ( $s < 1$ ) quantum phase transition

for coupling  $\alpha$  above critical  $\alpha_c$ : degenerate groundstate with magnetization  $\neq 0$

our criterion: magnetization  $m = \langle \sigma_z \rangle \leftrightarrow$  oscillator shift  $b_i \mapsto b_i - m \frac{\lambda_i}{\omega_i}$

► groundstate energy  $E$

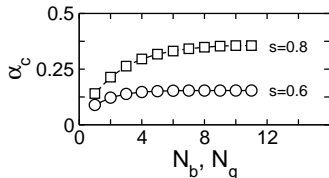
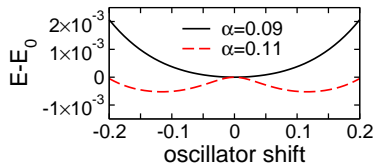
(i)  $\alpha < \alpha_c$  : minimum at  $m = 0$ , shift = 0

(ii)  $\alpha > \alpha_c$  : **minima** at  $m \neq 0$ , shift  $\neq 0$

► convergence of numerical  $\alpha_c$  with

$N_b$ : number of boson

$N_g$ : sparse grid level



# Results for spin-boson model

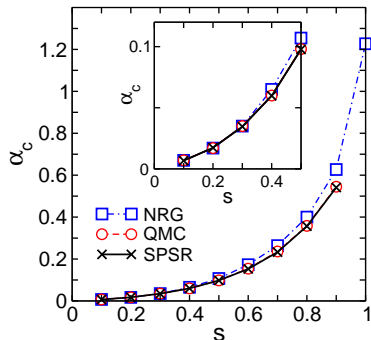
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Phase diagram ( $\Delta/\omega_c = 0.1$ )

- ▶ direct approach  
(no scaling, no extrapolation)
- ▶ very accurate & efficient computations
- ▶ results agree with QMC and NRG  
(taking NRG discretization into account)

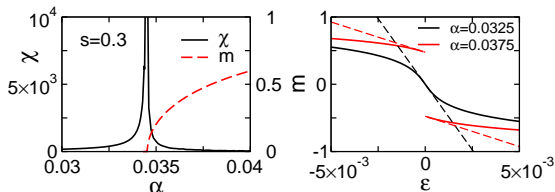


QMC/NRG data: Winter, Rieger, Vojta, Bulla, PRL 102, 030601 (2009)

# Results for spin-boson model

Quantum phase transition: Critical behaviour for  $s < 0.5$

- ▶ calculate magnetization  $m = \langle \sigma_z \rangle$  directly in groundstate, susceptibility  $\chi = -\partial m / \partial \epsilon$  with external field  $\epsilon \sigma_z$

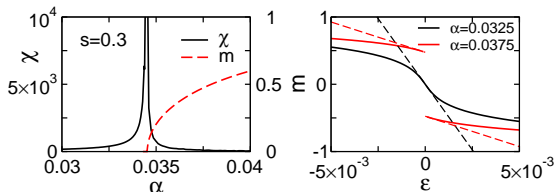


Of which type is the quantum phase transition for  $s < 0.5$ ?

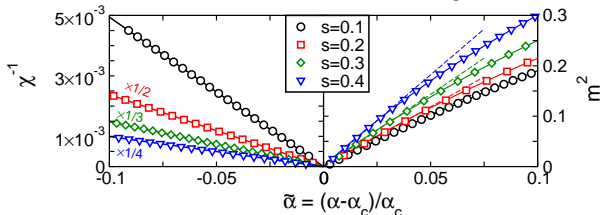
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## Quantum phase transition: Critical behaviour for $s < 0.5$

- ▶ calculate magnetization  $m = \langle \sigma_z \rangle$  directly in groundstate, susceptibility  $\chi = -\partial m / \partial \epsilon$  with external field  $\epsilon \sigma_z$



- ▶ critical behaviour: mean-field exponents  $\chi \propto (\alpha_c - \alpha)^{-1}$ ,  $m \propto (\alpha - \alpha_c)^{1/2}$  in accordance with QMC, but in contradiction to NRG [cf. Winter et al.]



## Sparse Polynomial Space Representation

- ▶ new idea: combine polynomial expansions with sparse grids to represent continuous bath degrees of freedom without discretization
- ▶ Hilbert space techniques (Lanczos, Jacobi-Davidson, Chebyshev . . . ) become applicable to open quantum systems
- ▶ no discretization error: results with excellent accuracy e.g. for time propagation on long time scales

## For the spin-boson model:

- ▶ static & dynamic observables at weak & strong coupling
- ▶ quantum phase transition has mean-field character for  $s < 0.5$

## Applications & future development

- ▶ generalized spin-boson models
- ▶ fermionic reservoirs using anti-symmetrized functions
- ▶ non-equilibrium current and electron pumping in nanostructures

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KPM review: Weiße, Wellein, Alvermann, Fehske, Rev. Mod. Phys. 78, 275 (2006).  
Chebyshev Space Method: A. Alvermann, H. Fehske, Phys. Rev. B 77, 045125 (2008).  
Sparse Polynomial Space Approach: A. Alvermann, H. Fehske, arXiv:0812.2808.